



## Average number of Real Roots of Random Trigonometric Polynomial follows non-symmetric Semi-Cauchy Distribution

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### Abstract

Let  $a_1(\omega), a_2(\omega), a_3(\omega) \dots \dots \dots a_n(\omega)$  be a sequence of mutually independent, identically distributed random variables following semi-cauchy distribution with characteristic function  $\exp(-(C + \cos \log|t|)|t|), C > 1$ . In this work, we obtain the average number of real zeros in the interval  $(0, 2\pi)$  of trigonometric polynomials of the form

$$\binom{n}{1}a_1(\omega)\cos\theta + \binom{n}{2}a_2(\omega)\cos2\theta + \binom{n}{3}a_3(\omega)\cos3\theta + \dots + \binom{n}{n}a_n(\omega)\cos n\theta$$

for large  $n$ . Here the required average is  $\sim \left(\frac{2n}{\sqrt{2\pi-1}}\right), n \rightarrow \infty$ .

**Key Words and Phrases:** Random variables; Joint distribution; Characteristic function; Semi-Cauchy distribution; Random trigonometric equations.



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### 1. Introduction

Let  $a_1(\omega), a_2(\omega), a_3(\omega) \dots \dots \dots a_n(\omega)$  be a sequence of mutually independent, identically distributed random variables. Let  $T_n(\theta)$  denote the trigonometric polynomial

$$T_n(\theta) = \sum_{k=1}^n \binom{n}{k} a_k(\omega) \cos k\theta = \sum_{k=1}^n b_k a_k(\omega) \cos k\theta \tag{1.1.1}$$

Where  $b_k = \binom{n}{k}$ . Let  $N_n(\beta, \gamma)$  denote the number of real roots of  $T_n(\theta) = 0$  in interval  $\beta \leq \theta \leq \gamma$ . Das [1] first time studied (1.1.1) polynomial, assuming that  $b_k = k^\sigma$ , he proved that the average number of zeros is  $\left(\frac{2\sigma+1}{2\sigma+3}\right)^{\frac{1}{2}} 2n + o(n)$  Where  $b_k = \binom{n}{k}$ . Let  $N_n(\beta, \gamma)$  denote the number of real roots of  $T_n(\theta) = 0$  in interval  $\beta \leq \theta \leq \gamma$ . Das [1] first time studied (1.1.1) polynomial, assuming that  $b_k = k^\sigma$ , he proved that the average number of zeros is  $\left(\frac{2\sigma+1}{2\sigma+3}\right)^{\frac{1}{2}} 2n + o(n)$  for  $\sigma \geq -\frac{1}{2}$  and of order  $n^{(3/2+\sigma)}$  remaining cases. Also Sambandham [6] studied for non-identically distributed case taking  $b_k = k^\sigma$  ( $\sigma \geq 0$ ) and showed that the average number is  $\left(\frac{2\sigma+1}{2\sigma+3}\right)^{\frac{1}{2}} 2n + o\left(n^{11+\frac{13}{n}}\right)$  except for a set of probability at  $\frac{1}{n^{2\eta}}$  most where  $0 < \eta < \frac{1}{13}$ .

A recent work of Faramand[3], shows that the asymptotic formula for the expected number of real zeros of a algebraic polynomial of the form  $\sum_{k=0}^n \binom{n}{k}^{\frac{1}{2}} a_k(\omega) x^k$  for large n, where the coefficients have identical normal distributions with  $\mu \neq 0$  and unit variance, then  $EN_n(-\infty, \infty) \sim \frac{\sqrt{n}}{2}$ .

In this paper we consider random trigonometric polynomial whose coefficients are independent but not identically distributed. Also we consider  $b_k = \binom{n}{k}$  with semi-Cauchy distribution. Das[1] and Sambandham[6] studied the case of normal distribution, which is a smooth curve where variance and moment are finite. But in semi-Cauchy distribution both mean and variance does not exist. We studied for all cases described the above and as such we have most generalized the case of Das and Sambandham.

**Theorem:** Let  $T_n(\theta) = \sum_{k=1}^n b_k a_k(\omega) \cos k\theta$ , be a random trigonometric polynomial, where  $a_k(\omega)$  are non-identically distributed random variables following semi-cauchy distribution with characteristic function  $\exp(-(C + \cos \log |t|)|t|)$ ,  $C > 1$ . If  $N_n(0, 2\pi)$  denote average number of real roots of  $T_n(\theta) = 0$  in  $[0, 2\pi]$ , then the required average is  $\sim \left(\frac{2n}{\sqrt{2\pi-1}}\right)$ , (as  $n \rightarrow \infty$ ).

### 2. Preliminaries

First we partition the interval  $(0, 2\pi)$  into two types of intervals namely,

Type -1

$$\left\{ \begin{array}{l} \left( \left( \epsilon, \frac{\pi}{2k} - \epsilon \right) \bigcup_{k=2}^n \left( \frac{\pi}{2k} + \epsilon, \frac{\pi}{2(k-1)} - \epsilon \right) \bigcup_{k=2}^n \left( \pi - \frac{\pi}{2(k-1)} + \epsilon, \pi - \frac{\pi}{2k} - \epsilon \right) \right) \\ \left( \bigcup_{k=2}^n \left( \pi - \frac{\pi}{2k} + \epsilon, \pi + \frac{\pi}{2k} - \epsilon \right) \bigcup_{k=2}^n \left( \pi + \frac{\pi}{2k} + \epsilon, \pi + \frac{\pi}{2(k-1)} - \epsilon \right) \right) \\ \left( \bigcup_{k=2}^n \left( 2\pi - \frac{\pi}{2(k-1)} + \epsilon, 2\pi - \frac{\pi}{2k} - \epsilon \right) \bigcup_{k=2}^n \left( 2\pi - \frac{\pi}{2k} + \epsilon, 2\pi - \epsilon \right) \right) \end{array} \right\} = \lambda(\text{say})$$

Type-2



$$\left\{ \begin{array}{l} \bigcup_{k=1}^n \left[ \frac{\pi}{2k} - \epsilon, \frac{\pi}{2k} + \epsilon \right] \bigcup_{k=1}^n \left[ \pi + \frac{\pi}{2k} - \epsilon, \pi - \frac{\pi}{2k} + \epsilon \right] \\ \bigcup_{k=1}^n \left[ \pi + \frac{\pi}{2k} - \epsilon, \pi + \frac{\pi}{2k} + \epsilon \right] \bigcup_{k=1}^n \left[ \pi - \frac{\pi}{2k} - \epsilon, \pi + \frac{\pi}{2k} + \epsilon \right] \\ \bigcup_{k=1}^n \left[ 2\pi - \frac{\pi}{2k} - \epsilon, \pi - \frac{\pi}{2k} + \epsilon \right] \bigcup [2\pi - \epsilon, 2\pi] \end{array} \right\} = \lambda'(\text{say})$$

Since the length of smallest interval like  $\left(\frac{\pi}{2k}, \frac{\pi}{2(k-1)}\right)$  etc, for  $k = 2, 3, 4 \dots \dots n$  is  $\frac{\pi}{2n(n-1)}$ , we take  $\epsilon = \frac{\pi}{4n^2}$  which is less than one half of the subintervals. It can be easily verified that with this value of  $\epsilon$ , all the subintervals type(1) and type(2) are well defined and no two subintervals of any type overlap.

We denote the average number of real zeros of  $T_n(\theta)$  in any subinterval of type (1) by  $E_n(\beta, \gamma)$  and that any subinterval of type (2) by  $M_n(\bar{\omega} - \epsilon, \bar{\omega} + \epsilon)$  where,

$$\bar{\omega} \in \left\{ \left(\frac{\pi}{2k}\right)_{k=1}^n, \left(\pi - \frac{\pi}{2k}\right)_{k=2}^n, \left(\pi + \frac{\pi}{2k}\right)_{k=1}^n, \left(\pi + \left(\pi - \frac{\pi}{2k}\right)\right)_{k=2}^n, 2\pi \right\} \quad (2.2.1)$$

We denote

$M_n(\lambda)$  = Sum of expectations of all subintervals of type (1) and

$M_n(\lambda')$  = Sum of expectations of all subintervals of type (2)

Let

$$T_n(\theta) = \sum_{k=1}^n b_k a_k(\omega) \cos k\theta = X \quad (2.2.2)$$

$$T'_n(\theta) = - \sum_{k=1}^n k b_k a_k(\omega) \sin k\theta = Y \quad (2.2.3)$$

Then the joint characteristics function of X and Y is given by

$$G(z, \omega) = e^{-\left(c + \cos \log \left( \sum_{k=1}^n |z b_k \cos k\theta - k \omega b_k \sin k\theta \right)\right) \left( \sum_{k=1}^n |z b_k \cos k\theta - k \omega b_k \sin k\theta \right)} \quad (2.2.4)$$

as  $a_k$ 's are independent random variables with common characteristic function  $\exp\{-(c + \cos \log |t|) |t|\}$ .

### 3. Main Results

**Proof of the theorem:** By Kac-Rice formula, and the procedure of Das,

$$M_n(\beta, \gamma) \leq \frac{1}{\pi} \int_{\beta}^{\gamma} \sqrt{\frac{(4XZ - Y^2)}{X^2}} d\theta \quad (3.3.1)$$

where



$$X(\omega) = \sum_{k=1}^n \binom{n}{k} |\cos k\theta| \quad (3.3.2)$$

$$Y(\omega) = 2 \sum_{k=1}^n \binom{n}{k} |k \sin k\theta - \cos k\theta| \quad (3.3.3)$$

and

$$Z(\omega) = \sum_{k=1}^n \binom{n}{k} |\sec k\theta| (k \sin k\theta - \cos k\theta)^2 \quad (3.3.4)$$

In order to estimate  $Z$ , we proceed to estimate the maximum value of  $|\sec k\theta|$  in any sub interval of  $\lambda$ . Here we consider different cases corresponding to different kind of sub interval of type-1.

### Case-1

If  $\epsilon < \theta < \frac{\pi}{2n} - \epsilon$ , then

$$|\cos \theta| > \left| \cos \left( \frac{\pi}{2} - \epsilon \right) \right| = |\sin \epsilon|$$

As  $|\cos \theta|$  is decreasing in  $\left( 0, \frac{\pi}{2} \right)$

$$\Rightarrow |\sec k\theta| < \frac{1}{|\sin \epsilon|} = \frac{1}{\left| \sin \frac{\pi}{4n^2} \right|} \quad \text{taking } \epsilon = \frac{\pi}{4n^2}$$

$$\text{As } \lim_{n \rightarrow \infty} \left| \frac{\sin \frac{\pi}{4n^2}}{\frac{\pi}{4n^2}} \right| = 1, \quad \left( \frac{\pi}{4n^2} \rightarrow 0 \text{ as } n \rightarrow \infty \right)$$

We have, for  $n > n_0$ ,  $\left| \frac{\sin \frac{\pi}{4n^2}}{\frac{\pi}{4n^2}} - 1 \right| < \eta$  (very small)

$$\frac{1}{1-\eta} > \frac{\frac{\pi}{4n^2}}{\left| \sin \frac{\pi}{4n^2} \right|} > \frac{1}{1+\eta} \quad \text{for } n > n_0, \text{ taking } \eta = 1 - \frac{1}{\pi},$$

$$\text{We have } \pi > \frac{\frac{\pi}{4n^2}}{\left| \sin \frac{\pi}{4n^2} \right|} \Rightarrow \frac{1}{\left| \sin \frac{\pi}{4n^2} \right|} < 4n^2, \text{ for } n > n_0$$



$$|\operatorname{seck}\theta| < \frac{1}{\left|\sin\frac{\pi}{4n^3}\right|} \text{ and } \frac{1}{\left|\sin\frac{\pi}{4n^3}\right|} < 4n^3$$

Then  $|\operatorname{seck}\theta| < 4n^3$ , for large  $n$ .

$$\frac{\frac{\pi}{4n^3}}{\left|\sin\frac{\pi}{4n^3}\right|} > \frac{1}{1+\eta} \text{ and } \eta = 1 - \frac{1}{\pi}.$$

So we get,  $|\operatorname{seck}\theta| > \frac{2\pi-1}{4n^3}$ , for large  $n$ .

### Case -2

If  $\frac{\pi}{2k} + \epsilon < \theta < \frac{\pi}{2(k-1)} - \epsilon$ , proceed as above

As  $|\cos\theta|$  is increasing in  $\left(\frac{\pi}{2}, \pi\right)$

$$\Rightarrow |\operatorname{seck}\theta| < 4n^3, \text{ for } n > n_0$$

Similarly we get,  $|\operatorname{seck}\theta| > \frac{2\pi-1}{4n^3}$ , for large  $n$ .

### Case-3

If  $\pi - \frac{\pi}{2(k-1)} + \epsilon < \theta < \pi - \frac{\pi}{2k} - \epsilon$ , also as before

$$\Rightarrow |\operatorname{seck}\theta| < 4n^3, \text{ for } n > n_0$$

Similarly we get,  $|\operatorname{seck}\theta| > \frac{2\pi-1}{4n^3}$ , for large  $n$ .

### Case-4

If  $\pi - \frac{\pi}{2n} + \epsilon < \theta < \pi + \frac{\pi}{2n} - \epsilon$ , then, also as before, we get

$$|\operatorname{seck}\theta| < 4n^3, \text{ for } n > n_0$$

Similarly we get,  $|\operatorname{seck}\theta| > \frac{2\pi-1}{4n^3}$ , for large  $n$ .

We shall leave other two cases by the period  $\pi$  in which we shall we get same upper estimate for  $|\operatorname{seck}\theta|$ .

### Case-5

If  $2\pi k - \frac{\pi k}{2n} + \epsilon k < k\theta < 2\pi k + \epsilon k$  then

Since  $|\cos\theta|$  is increasing in  $\left(2\pi k - \frac{\pi k}{2n} + \epsilon k, 2\pi k\right)$  and decreasing in  $(2\pi k, 2\pi k + \epsilon k)$



After calculation, we get

$$|\operatorname{seck}\theta| < 4n^3 \text{ and } |\operatorname{seck}\theta| > \frac{2\pi-1}{4n^2}, \text{ for large } n.$$

Now from (3.3.2)

$$\begin{aligned} X(\omega) &= \sum_{k=1}^n \binom{n}{k} |\operatorname{cosk}\theta| \\ &= \frac{4n^3}{2\pi-1} \sum_{k=1}^n \binom{n}{k} \\ &= \frac{4n^3 2^n}{2\pi-1} \left(1 - \frac{1}{2^n}\right) \\ X(\omega) &\leq \frac{4n^3 2^n}{2\pi-1} O(1) \end{aligned} \tag{3.3.5}$$

Now from (3.3.3)

$$\begin{aligned} Y(\omega) &= 2 \sum_{k=1}^n \binom{n}{k} |k \operatorname{sink}\theta - \operatorname{cosk}\theta| \\ &\leq 2 \sum_{k=1}^n \binom{n}{k} (|k \operatorname{sink}\theta| + |\operatorname{cosk}\theta|) \\ &\leq 2 \sum_{k=1}^n \binom{n}{k} (k+1) \\ &= 2^n n \left(1 + O\left(\frac{1}{n}\right)\right) \\ Y(\omega) &\leq 2^n n \left(1 + O\left(\frac{1}{n}\right)\right) \end{aligned} \tag{3.3.6}$$

Now from (3.3.4)





$$\begin{aligned}
 Z(\omega) &= \sum_{k=1}^n \binom{n}{k} |\operatorname{seck}\theta| (k \operatorname{sink}\theta - \operatorname{cosk}\theta)^2 \\
 &\leq \sum_{k=1}^n \binom{n}{k} |\operatorname{seck}\theta| (k \operatorname{sink}\theta + \operatorname{cosk}\theta)^2 \\
 &\leq \sum_{k=1}^n 4n^3 \binom{n}{k} (k+1)^2 \\
 &\leq n^5 2^n \left(1 + O\left(\frac{1}{n}\right)\right)
 \end{aligned}$$

$$Z(\omega) \leq n^5 2^n \left(1 + O\left(\frac{1}{n}\right)\right) \tag{3.3.7}$$

Using the order of X, Y and Z, we get

$$\begin{aligned}
 \therefore \sqrt{\left| \frac{4XZ - Y^2}{X^2} \right|} &\leq \frac{4n^4 2^n \sqrt{O(1)} \left(1 + O\left(\frac{1}{n}\right)\right)}{\frac{4n^3 2^n}{(2\pi - 1)} O(1)} \left\{ \frac{1}{\left(1 + O\left(\frac{1}{n}\right)\right)} - \frac{(2\pi - 1)}{16n^6 O(1)} \right\}^{1/2} \\
 &= \frac{n}{\sqrt{(2\pi - 1)}} \frac{\left(1 + O\left(\frac{1}{n}\right)\right)}{\sqrt{O(1)}} |\varphi(\Psi_n)|
 \end{aligned}$$

where  $\varphi(\Psi_n) = \left\{ \frac{1}{\left(1 + O\left(\frac{1}{n}\right)\right)} - \frac{(2\pi - 1)}{16n^6 O(1)} \right\}^{1/2}$  and  $\varphi(\Psi_n) \rightarrow 1$  as  $n \rightarrow \infty$

Now from (3.3.1)

$$\begin{aligned}
 M_n(\lambda) &\leq \frac{1}{\pi} \int_0^{2\pi} \sqrt{\frac{4XZ - Y^2}{X^2}} d\theta \\
 &= \frac{2n}{\sqrt{(2\pi - 1)}} \\
 \therefore M_n(\lambda) &\leq \frac{2n}{\sqrt{(2\pi - 1)}} \tag{3.3.8}
 \end{aligned}$$



we show that the probability of  $T_n(\theta)$  having an appreciable number of zeros in the small interval  $\bar{\omega} - \epsilon < \theta < \bar{\omega} + \epsilon$ , i.e in any subinterval of type 2 is small.

Following lemma is necessary for estimation of  $M_n(\bar{\omega} - \epsilon, \bar{\omega} + \epsilon)$ .

**Lemma :**

$$P\left(n(\epsilon) > 1 + \frac{3n(3n^3 + 1)\epsilon}{\log 2}\right) < \frac{\mu_2}{e^{n^4\epsilon}}$$

where  $n(r)$  denote the number of zeros of  $T_n(\theta)$  in  $|z| \leq r$ .

**Proof:** Applying Jensen's theorem to the entire function  $T_n(\theta)$  we have

$$n(\epsilon) \leq \frac{1}{2\pi \log 2} \int_0^{2\pi} \log \left| \frac{T_n(2\epsilon e^{i\theta})}{T_n(0)} \right| d\theta \quad (3.3.9)$$

Provided  $T_n(0) \neq 0$ . By Gnedenko and kolomogorv[4]

$$P(\{\omega: a_k(\omega) > n\}) = 1 - \{F(n) - F(-n)\} < \frac{\mu}{n} \quad (3.3.10)$$

If  $\max_{1 \leq k \leq h} |a_k(\omega)| = h_n$ , then from(3.3.10), we have

$T_n(2\epsilon e^{i\theta}) < 2e^{2n^4\epsilon} e^{2n\epsilon} D_n$ , where

$$D_n = \sum_{k=1}^n b_k = \sum_{k=1}^n \binom{n}{k}$$

except a set of measure at most least  $(1 - \frac{\mu_1}{e^{n^4\epsilon}})$ . From Gredenko ([5] p.229), as  $f(t)$  is integrable over the entire real line, we get,

$$\begin{aligned} F(x+h) - F(x-h) &\leq \frac{h}{\pi} \int_{-\infty}^{\infty} |f(t)| dt \\ &= \frac{2h}{\pi} \frac{1}{D_n(c-1)} \quad (\text{where } c > 1) \end{aligned}$$

Now taking  $x = 0$  and  $h = D_n e^{-n^4\epsilon}$ , we get,

$$\begin{aligned} P(|T_n(0)| < D_n e^{-n^4\epsilon}) &= F(D_n e^{-n^4\epsilon}) - F(-D_n e^{-n^4\epsilon}) \\ &< \mu_1 e^{-n^4\epsilon} \quad \text{where } \mu_1 = \frac{2}{\pi(c-1)} \end{aligned}$$





Hence we have

$$|T_n(2\epsilon e^{i\theta})| \leq 2.D_n e^{2n^4\epsilon} e^{2n\epsilon} \quad \text{and} \quad |T_n(0)| < D_n e^{-n^4\epsilon}$$

Hence

$$P\left(\left|\frac{T_n(2\epsilon e^{i\theta})}{T_n(0)}\right| \leq \frac{2.D_n e^{2n^4\epsilon} e^{2n\epsilon}}{D_n e^{-n^4\epsilon}}\right) > 1 - \frac{\mu_2}{e^{n^4\epsilon}}$$

or  $P\left(\left|\frac{T_n(2\epsilon e^{i\theta})}{T_n(0)}\right| \leq 2e^{3n^4\epsilon+2n\epsilon}\right) > 1 - \frac{\mu_2}{e^{n^4\epsilon}} \quad (3.3.11)$

It follows from (3.3.9), we get

$$n(\epsilon) \leq 1 + \frac{3n(n^3 + 1)\epsilon}{\log 2} \quad (3.3.12)$$

Combining (3.3.11) and (3.3.12), we get,

$$P\left\{n(\epsilon) \leq 1 + \frac{3n(n^3 + 1)\epsilon}{\log 2}\right\} > 1 - \frac{\mu_2}{e^{n^4\epsilon}}$$

$$\text{or } P\left\{n(\epsilon) > 1 + \frac{3n(n^3 + 1)\epsilon}{\log 2}\right\} \leq \frac{\mu_2}{e^{n^4\epsilon}}$$

we have observe that in any interval like  $[\bar{\omega} - \epsilon, \bar{\omega} + \epsilon]$  as well as  $[0, \epsilon]$  and  $[2\pi - \epsilon, 2\pi]$ , the probability that  $T_n(\theta)$  has more than  $\left(1 + \frac{3n(n^3+1)\epsilon}{\log 2}\right)$  zeros does not exceed  $\frac{\mu_2}{e^{n^4\epsilon}}$ . As there are altogether  $4n$  such disjoint intervals in  $\lambda'$ , there fore the probability that  $T_n(\theta)$  has more than  $4n\left(1 + \frac{3n(n^3+1)\epsilon}{\log 2}\right)$  zeros in  $\lambda'$ , does not exceed  $4n \frac{\mu_2}{e^{n^4\epsilon}} = \frac{n\mu'}{e^{n^4\epsilon}}$ .

$$P\left\{M_n(\lambda') > \left(4n + \frac{12n^2(n^3 + 1)\epsilon}{\log 2}\right)\right\} < \frac{n\mu'}{e^{n^4\epsilon}} < \frac{n\mu'}{e^{\frac{n\pi}{4}}}, \text{ putting } \epsilon = \frac{\pi}{4n^3}$$

Thus

$$M_n(\lambda') = O\left\{\frac{n\mu'}{e^{\frac{n\pi}{4}}}\left(4n + \frac{3(n^3 + 1)\frac{\pi}{n}}{\log 2}\right)\right\}$$

$$= O\left\{\frac{3n^3\mu'}{e^{\frac{n\pi}{4}}}\left(\frac{4}{3n} + \frac{\left(1 + \frac{1}{n^3}\right)\pi}{\log 2}\right)\right\}$$



$\rightarrow 0$  as  $n \rightarrow \infty$  as  $e^{\frac{n\pi}{4}}$  is exponentially large .

$$\text{So } M_n(\lambda') = o(1) \text{ as } n \rightarrow \infty \quad (3.3.13)$$

Combining (3.3.8) and (3.3.13), we get,

$$EN_n[0, 2\pi] = M_n[0, 2\pi]$$

$$= M_n(\lambda) + M_n(\lambda')$$

$$\leq \frac{2n}{\sqrt{(2\pi - 1)}} + o(1)$$

Hence we get,

$$EN_n[0, 2\pi] = M_n[0, 2\pi] \sim \frac{2n}{\sqrt{(2\pi - 1)}} \text{ as } n \rightarrow \infty.$$

Thus the proof ends.

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