



## On rank one $\lambda^*$ -commuting operators

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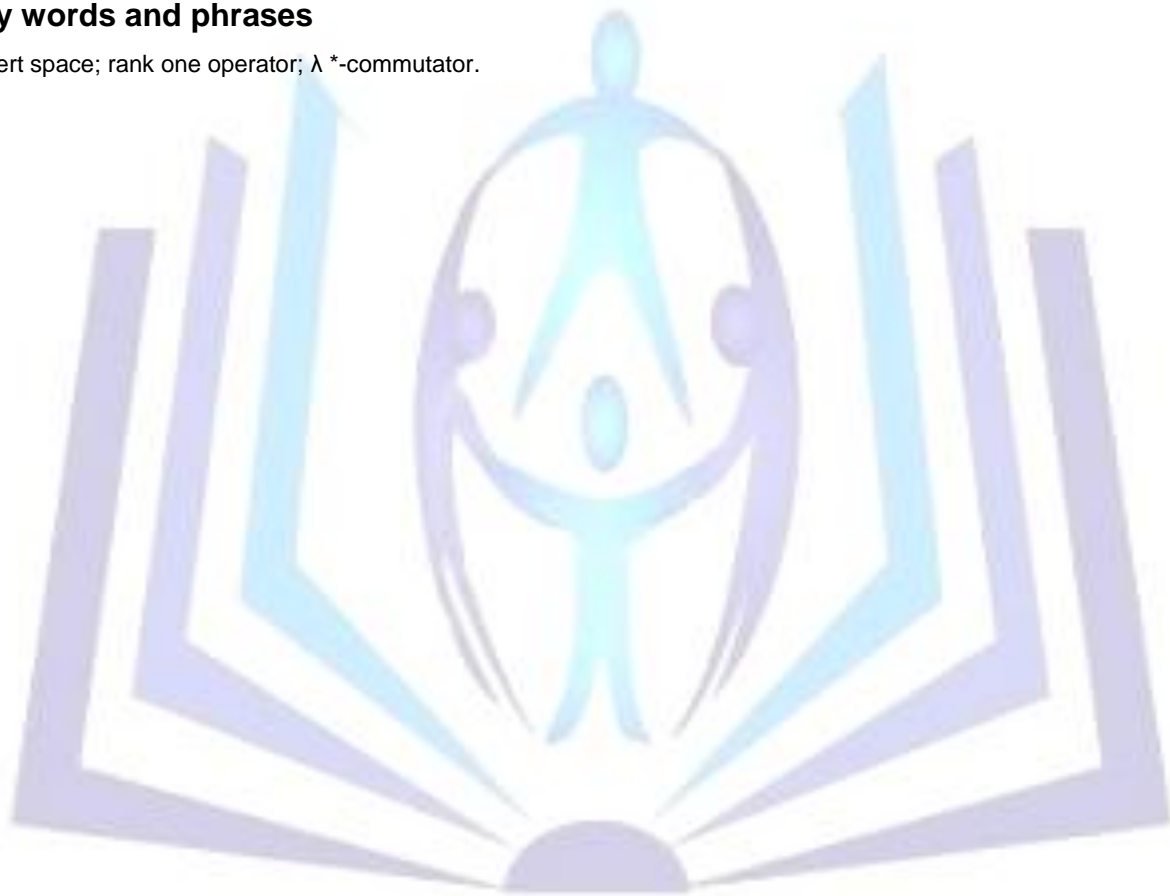
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### Abstract

Let  $\lambda$  be a non zero complex number. An operator  $A$  is a rank one  $\lambda^*$ -commutes with  $B$  if  $AB - \lambda BA^*$  has rank one. If, moreover,  $B$  is compact operator then  $A$  is called to belong to  $\Delta_\lambda^*(\mathbf{H})$ . In other words,  $\Delta_\lambda^*(\mathbf{H}) = \{A \in \mathbf{B}(\mathbf{H}) \mid AB - \lambda BA^*$  has rank one for some compact operator  $B\}$ . We study the basic properties of  $\Delta_\lambda^*(\mathbf{H})$ . We prove that if  $A \in \mathbf{B}(\mathbf{H})$  has an eigenvalue different than  $\lambda$ , and  $A$  has a fixed point then  $A \in \Delta_\lambda^*(\mathbf{H})$ .

### Key words and phrases

Hilbert space; rank one operator;  $\lambda^*$ -commutator.



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## Introduction

Let  $\mathbf{H}$  be a complex, separable, infinite dimensional Hilbert space, and let  $\mathbf{B}(\mathbf{H})$  denote the algebra of all linear bounded operators on  $\mathbf{H}$ . The " $\lambda$ -commuting" property has its history related to the Invariant Subspace Problem of operators on Hilbert space. It was proved by V. Lomonosov [9] that any nonscalar operator  $A \in \mathbf{B}(\mathbf{H})$  that commutes with a nonzero operator  $B \in \mathbf{B}(\mathbf{H})$  has a nontrivial hyperinvariant subspace. Subsequently, the result was improved by Lomonosov and many other authors to operators that  $\lambda$ -commute, that is  $AB = \lambda BA$ , see for instance [3], [4], [10]. In [7] it was proved that if  $A \in \mathbf{B}(\mathbf{H})$  is a normal operator with empty point spectrum and  $B$  is a compact operator such that  $AB = \lambda BA$  for some complex number  $\lambda$ , then  $B = 0$ . This result was extended to hyponormal operators. For more details see [8].

On the other hand, It was proved that all operators  $A$  in  $\mathbf{B}(\mathbf{H})$  with the property that there exists a compact operator  $B$  such that  $AB - BA$  has rank one, have a nontrivial hyperinvariant subspace [5]. This class of operators was extensively studied in [6]. In [2], Hamada proved that every nonscalar operator with the property that there exists a finite rank operator  $B$  such that  $AB - BA^*$  has rank one, has a nontrivial hyperinvariant subspace.

This paper is devoted to study a new class of operators, named  $\Delta_\lambda^*(\mathbf{H})$ . Let  $\lambda$  be a complex number. An operator  $A \in \Delta_\lambda^*(\mathbf{H})$  if  $AB - \lambda BA^*$  has rank one for some compact operator  $B$ . In other word,  $A$  is rank one  $\lambda$ -\*commutes with a compact operator  $B$ . We construct some basic properties of  $\Delta_\lambda^*(\mathbf{H})$ , giving different examples of operators. However, we couldn't prove that the nonscalar elements in  $\Delta_\lambda^*(\mathbf{H})$  have nontrivial hyperinvariant subspaces.

Remember that for  $f, g \in \mathbf{H}$ ,  $f \otimes g$  is the rank one operator defined by,

$$(f \otimes g)x = \langle x, g \rangle f \text{ for each } x \in \mathbf{H}$$

## 1 Main Results

### Definition 1.1

Let  $0 \neq \lambda \in \mathbf{C}$ . An operator  $A$  is a rank one  $\lambda$ -\*commutes with  $B$  if  $AB - \lambda BA^*$  has rank one. By  $\Delta_\lambda^*(\mathbf{H})$  we mean the set of all operators  $A$  in  $\mathbf{B}(\mathbf{H})$  with the property that there exists a compact operator  $B$  such that  $AB - \lambda BA^*$  has rank one. Note that the existence of  $B$  depends on  $A$ .

### Remark 1.2

One can easily prove that  $\Delta_\lambda^*(\mathbf{H})$  is not empty. In fact  $iI \in \Delta_\lambda^*(\mathbf{H})$ , for let  $B = x \otimes y$  be the rank one operator that sends  $y$  to  $x$  provided that  $x$  and  $y$  are nonzero unit vectors, then clearly  $B$  is compact. For  $A = iI$ ,  $AB - \lambda BA^* = Ax \otimes y - \lambda(x \otimes Ay) = ix \otimes y + i\lambda x \otimes y = i(1 + \lambda)x \otimes y$  which is of rank one.

We start with some basic properties of the class of operators  $\Delta_\lambda^*(\mathbf{H})$ .

### Proposition 1.3

1. For any non zero scalar operator  $\lambda I$ ,  $\lambda I \in \Delta_\lambda^*(\mathbf{H})$  iff  $\lambda \neq 1$
2.  $A \in \Delta_\lambda^*(\mathbf{H})$  iff  $\alpha A \in \Delta_\lambda^*(\mathbf{H})$  for each non zero real number  $\alpha$ .
3. If  $A \in \Delta_\lambda^*(\mathbf{H})$ , then  $A \in \Delta_{1/\bar{\lambda}}^*(\mathbf{H})$



*Proof.*

1. Let  $A = \lambda I$  be a non zero scalar operator. If  $B$  is an operator of rank one, then

$$AB - \lambda BA^* = (\lambda I)B - \lambda B(\bar{\lambda} I) = (\lambda - |\lambda|^2)B$$

has rank one iff  $\lambda \neq 1$ .

2. Suppose that  $A \in \Delta_\lambda^*(\mathbf{H})$ , then there exists a compact operator  $B$  such that  $AB - \lambda BA^*$  has rank one.

Let  $\alpha$  be a non zero real number and  $\beta \in \mathbf{C}$  s.t.  $\beta = \lambda \bar{\beta}$ , then

$$\begin{aligned} (\alpha A)B - \lambda B(\alpha A)^* &= \alpha AB - \bar{\alpha} \lambda BA^* \\ &= \alpha AB - \bar{\alpha} \lambda BA^* \\ &= \alpha (AB - \lambda BA^*) \end{aligned}$$

which has rank one. Thus,  $\alpha A \in \Delta_\lambda^*(\mathbf{H})$ . The converse is trivial, just put  $\alpha = 1$ .

3. If  $A \in \Delta_\lambda^*(\mathbf{H})$  then there exists a compact operator  $B$  such that  $AB - \lambda BA^* = f \otimes g$  for some nonzero vectors  $f, g \in \mathbf{H}$ . Thus  $(AB - \lambda BA^*)^* = (f \otimes g)^*$  but  $(f \otimes g)^* = g \otimes f$ . Therefore

$$(AB - \lambda BA^*)^* = B^* A^* - \bar{\lambda} AB^* = -\bar{\lambda} (AB^* - (1/\bar{\lambda}) B^* A^*)$$

has rank one, and  $AB^* - (1/\bar{\lambda}) B^* A^*$  is so. Note that  $B^*$  is also compact. Thus  $A \in \Delta_{1/\bar{\lambda}}^*(\mathbf{H})$

Now, we go through some examples of operators that belong to  $\Delta_\lambda^*(\mathbf{H})$ .

#### Proposition 1.4

Let  $A$  be any nonzero operator which is not 1-1, then  $A \in \Delta_\lambda^*(\mathbf{H})$ .

*Proof.*

By assumption, there exist nonzero vectors  $x, y \in \mathbf{H}$  such that  $Ax \neq 0$  and  $Ay = 0$ . Let  $B = x \otimes y$ , then  $B$  is of rank one hence compact and

$$AB - \lambda BA^* = A(x \otimes y) - \lambda (x \otimes y) A^* = Ax \otimes y - \lambda x \otimes Ay = Ax \otimes y$$

which has rank one. Thus  $A \in \Delta_\lambda^*(\mathbf{H})$ .

#### Corollary 1.5

Any nonzero nilpotent operator belongs to  $\Delta_\lambda^*(\mathbf{H})$

*Proof.*

Let  $A$  be a nonzero nilpotent operator, then there exists  $n \in \mathbf{N}$  such that  $A^n = 0$  and  $A^{n-1} \neq 0$ . Let  $f \in \mathbf{H}$  be such that  $A^{n-1} f \neq 0$  then  $A(A^{n-1} f) = A^n f = 0$  so  $A$  is not 1-1. Hence by (1.4),  $A \in \Delta_\lambda^*(\mathbf{H})$ .



One can easily prove that:

### Corollary 1.6

Any nonzero finite rank operator belongs to  $\Delta_{\lambda}^*(\mathbf{H})$ .

We turn now to results related to invertible operators.

### Proposition 1.7

Let  $A$  be an invertible operator. If  $A \in \Delta_{\lambda}^*(\mathbf{H})$  then  $A^{-1} \in \Delta_{1/\lambda}^*(\mathbf{H})$ .

*Proof.*

Let  $B$  be a compact operator such that  $AB - \lambda BA^*$  has rank one. Now,

$$AB - \lambda BA^* = (ABA^*)(A^*)^{-1} - \lambda A^{-1}(ABA^*) = A^{-1}(ABA^*) - (1/\lambda)(ABA^*)(A^*)^{-1}.$$

Since  $(ABA^*)$  is compact, the result follows.

Recall that an operator  $A$  is called algebraic if  $p(A) = 0$  for some non zero polynomial  $p$ . The following theorem gives a condition on an algebraic operator to be rank one  $\lambda^*$ -commutes with a compact operator.

### Theorem 1.8

Let  $A$  be a nonzero algebraic operator. If  $A$  is non invertible then  $A \in \Delta_{\lambda}^*(\mathbf{H})$ .

*Proof.*

Let  $p(x) = a_n x^n + a_{n-1} x^{n-1} + \dots + a_0$  be a polynomial such that  $p(A) = 0$ . If  $a_0 \neq 0$  then  $a_n A^n + a_{n-1} A^{n-1} + \dots + a_1 A = -a_0 I$ , So  $A(a_n A^{n-1} + a_{n-1} A^{n-2} + \dots + a_1) = -a_0 I$

which contradicts the non invertibility of  $A$ .

Let  $q(A) = a_n A^{n-1} + a_{n-1} A^{n-2} + \dots + a_1$ , then  $Aq(A) = 0$ . One can assume that the degree of  $p$  is the smallest one among those polynomials that annihilate  $A$ . Thus,  $q(A) \neq 0$  and there exists non zero vectors  $f$  and  $g$  such that  $q(A)f \neq 0$  and  $Ag \neq 0$  (since  $A \neq 0$ ). Let  $B = q(A)f \otimes g$  then

$$AB - \lambda BA^* = Aq(A)f \otimes g - \lambda q(A)f \otimes Ag = -\lambda q(A)f \otimes Ag$$

which is of rank one. Thus  $A \in \Delta_{\lambda}^*(\mathbf{H})$ .

The following theorem find a relation between operators that have eigenvalues and the  $\Delta_{\lambda}^*(\mathbf{H})$ .

### Theorem 1.9

Let  $A \in \mathbf{B}(\mathbf{H})$  satisfies the following conditions:

1.  $A$  has an eigenvalue different than  $\lambda$ , and
2.  $A$  has a fixed point,

then  $A \in \Delta_{\lambda}^*(\mathbf{H})$

*Proof.* Let  $\lambda \neq \mu \in \mathbf{C}$  such that  $Af = \mu f$  for some  $0 \neq f \in \mathbf{H}$ ,  $\mu$  is the eigenvalue of  $A$ . Let  $0 \neq g \in \mathbf{H}$  be the fixed point of  $A$ , hence  $Ag = g$ . Define  $B = f \otimes g$ , then



$$\begin{aligned}
AB - \lambda BA^* &= A(f \otimes g) - \lambda(f \otimes g)A^* \\
&= Af \otimes g - \lambda f \otimes Ag \\
&= \mu \otimes g - \lambda f \otimes g \\
&= (\mu - \lambda)f \otimes g
\end{aligned}$$

has rank one as  $\mu \neq \lambda$ . Consequently,  $A \in \Delta_\lambda^*(\mathbf{H})$

**Corollary 1.10** Let  $0 \neq \lambda \in \mathbf{C}$ . If  $A \in \mathbf{B}(\mathbf{H})$  has an eigenvalue 1, then there exists a compact operator  $B$  such that  $AB - \lambda BA^*$  has rank at most 1.

*Proof.* If  $\lambda \neq 1$  then  $A$  has an eigenvalue and fixed point of 1. Hence by theorem (1.9),  $A \in \mathbf{B}(\mathbf{H})$ . If  $\lambda = 1$  then there exists a non zero vector  $g$  in  $\mathbf{H}$  such that  $Ag = g$ . Define  $B = g \otimes g$ , then

$$\begin{aligned}
AB - \lambda BA^* &= A(g \otimes g) - (g \otimes g)A^* \\
&= Ag \otimes g - g \otimes Ag \\
&= g \otimes g - g \otimes g \\
&= 0
\end{aligned}$$

Consequently,  $AB = \lambda BA^*$ .

Open Question: Does an operator in  $\Delta_\lambda^*(\mathbf{H})$  have a nontrivial hyperinvariant subspace?

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