



## Concurrent Scanning for High Quality Hand Over Support in Mobile WiMAX Networks

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### ABSTRACT

Mobile WiMAX is IEEE 802.16e standard established for mobile broadband wireless access (BWA). Mobility in WiMAX system is an important issue when the mobile station (MS) moves and be handover between base stations (BSs). This issue causes an unnecessary neighboring BS scanning and association, handover delay, and MAC overhead which may affect real-time applications.

The MS movement direction prediction (MMDP) based MS scanning is used to reduce the number of scanning's required in mobile WiMAX handover process. In this paper with reduction in number of scanning's we propose to reduce the scanning delay required for evaluated two best target BSs by using concurrent scanning process. Network issues like ideal sectors in WiMAX 2 (IEEE 802.16m), network congestion and fast change in RSS are important parameters which can affect the QoS. With this proposed model we are able to provide the high quality hand over support in mobile WiMAX wherein we are able to solve the above stated network problems. Here on using the concurrent scanning process the authors are able to reduce the scanning delay by 40%. As a part of this paper, network layer parameters called routing protocols are analyzed for hand over support. This analysis shows an efficient routing protocol for real time application and the best effort service. This reviles that fisheye protocol is better to use for smaller network and LANMAR for the larger networks in real time application and IERP protocol is good for best effort services.

### KEYWORDS

Mobile WiMAX, Scanning, MS movement direction prediction (MMDP), serving base station (SBS), target base station (TBS), Average End to End Delay, Average Data Received.

### SUBJECT CLASSIFICATION

Wireless Networking, Computer Networking and Mobile Communication.

### TYPE (METHOD/APPROACH)

In this work, network simulator QualNet 6.1 has been used to evaluate the performance of Mobile WiMAX with various routing protocols. MATLAB 7.10 has been used to simulate the mobile WiMAX network for high quality hand over support.

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## 1 INTRODUCTION

WiMAX is an acronym for worldwide interoperability for Microwave Access. It is a broadband wireless access (BWA) technology which provides an easy, time saving and low cost deployment method for the next generation (4G) infrastructure. It provides broadband access in rural and urban areas over fixed wireless channels. Mobile WiMAX is IEEE 802.16e standard established for mobile BWA. Data, Voice over Internet Protocol and video streaming are the main traffic from the users. In day today life the demand for high speed transmission data rate is growing tremendously. Research for new and more advance communication system is vital to fulfill the world demand. Therefore, the introduction of high speed network system providing high quality of network is one of the possible solutions at this moment.

### 1.1 Mobile WiMAX

There are lots of challenges involved in the service provided by the Mobile WiMAX. Because of its wireless nature, it can be faster to deploy, easier to scale and more flexible, thereby giving it the potential to serve customers not served or not satisfied by their wired broadband alternatives. Some Mobile WiMAX as IEEE 802.16e supports mobility and is also capable to provide fixed access [2]. Characteristics of IEEE 802.16e are Advanced antenna diversity schemes, HARQ, adaptive antenna system(AAS),MIMO technology, thereby improving indoor penetration, introducing turbo coding and low density parity check (LDPC),introducing downlink sub-channelization, allowing administrators to trade coverage for capacity or vice versa, adding an extra QoS class for VoIP applications [3]. . The deployment of Mobile WiMAX network topology is as shown in figure 1.



Fig 1 Mobile WiMAX network deployment.

Although the basic handover procedure has been introduced for IEEE 802.16e to support full mobility, the emerging standards of IEEE 802.16j and IEEE 802.16m are also exploiting the same handover principles of IEEE 802.16e with some other amendments regarding to the requirements of the new standard [4]. the most recent standard of IEEE 802.16m promises to meet the IMT-Advanced requirements which to provide high data rates of at least 1 Gbps for the fixed subscriber and 100 Mbps for mobile subscriber at a vehicular speed of up to 350 km/h. Also, the IEEE 802.16m supports the MAC and PHY features of the location based service (LBS) solution, where the BS can track the MS movement [5].

### 1.2 Handover

Handover mechanism is one of the critical operations in mobile WiMAX. The handover mechanism handles mobile station (MS) for switching from one serving base station (SBS) to target base station (TBS). This can affects the QoS & capacity of mobile broadband network. QoS is the performance level of the services offered by the network to the user. It is required for efficient utilization of resources and for better information delivery.

The basic handover procedure can be categorized into two main phases; the network topology acquisition phase (NTAP) or pre-handover phase, and the actual handover phase (AHOP)[1]. The first phase includes the network topology advertisement, neighbouring BS scanning, and association. The second phase consists of cell selection, handover decision and initiation, and network re-entry including ranging, authorization and re-registration.

Hand over take place in two ways: Mobility between subnets in the same network (micro mobility) & between two different network domains. The hand over techniques in the micro mobility can be divided into soft hand over (make\_ before\_ break) & hard hand over (break\_before\_make). In Soft hand over connection with the serving base station is terminated before a mobile station switches to another base station. It will have zero break time during hand over at the cost of lower spectral efficiency. Hard hand over (HHO) uses a break before makes approach. A typical mobile WiMAX network uses packet switching with mostly bursty delay tolerant data traffic. Therefore Hard HO is used in mobile WiMAX.

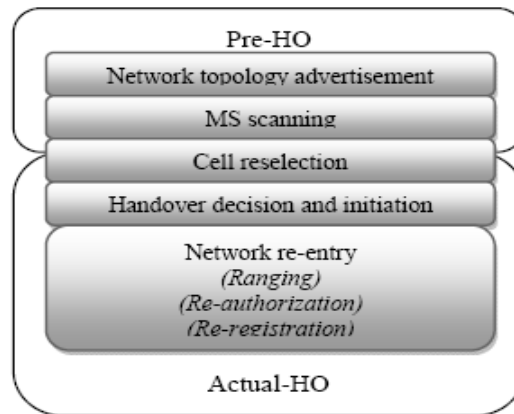


Fig.2 Hand over phases

### 1.3 Scanning

MS Scanning is the process where the MS must synchronize with all advertised neighbour BSs (nBS) to select the best BS candidate for the incoming handover action. Without terminating the connection between the SBS and MS, the SBS will schedule the scanning intervals or sleep-intervals to MS for scanning. Due to the inefficient factor of the redundant scanning activities in this phase, all the data transmission will be paused, and it may cause handover delay and throughput degradation. This issue will affect the real-time continuity of multimedia application. Authors in [7] proposed MS movement direction prediction (MMDP) based MS scanning scheme for mobile WiMAX system. Through the WiMAX's backbone and LBS features, the SBS can know the MS and its nBSs locations. Based on the cell sectoring-zoning, and the past and current MS position, the SBS can predict the MS direction which will be described in details. After the MS receives the MOB\_NBR-ADV message and the receiving signal quality reaches the scanning threshold, the MS will request a scanning interval with a list of the nBSs. The SBS will responses the scanning requests by sending SCN\_RSP message which include a shortlist of the nBSs (two BSs to be scanned). After scanning, the SBS will choose the best TBS among the scanned base stations. Due to the inefficient factor of the redundant scanning activities in this phase, all the data transmission will be paused, and it may cause handover delay and throughput degradation. This issue will affect the real-time continuity of multimedia application sessions. Hence pre-handover delay minimization is the important issue; Fig (2) shows the pre-hand over phase. SBS sends out the HO-ADV signal to MS in response to this it will send the HO-REQ to SBS to indicate the hand over request, which intern sends the HO-RSP signal for the other nBS scanning [6]. After scanning for n base stations actual traffic is allowed to access the network.

The rest of this paper is organized as follows. Section 2 provides technical background of Mobile WiMAX HO methodology using MMDP Scheme. In section 3, we introduced QualNet Network scenario and proposed concurrent scanning method. Then in section 4, the simulation results are evaluated. Finally, in section 5, a summary and conclusions based on simulations performed are presented.

## 2 MMDP SCHEME

The Serving Base Station keeps track of the MS movement location and trajectory. Based on [5], the BS coverage area is divided into three zones as no handover (No-HO) zone, low handover (Lo-HO) zone, high hand over (High-HO) zone based on signal quality and six sectors as shown in Figure (3). The No-HO zone is having least handover probability, while Lo-HO and High-HO zones are having low and high handover probabilities. This division subsequently reduces the actual area of MS's random movement tracking during a potential handover activity [8].

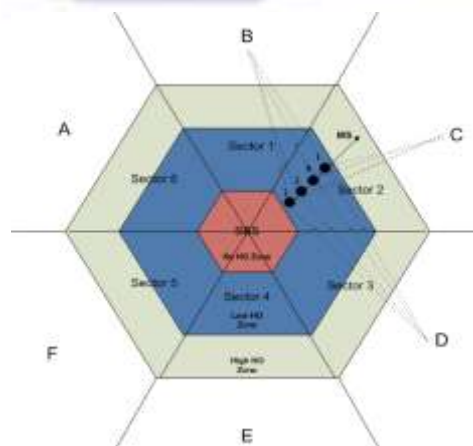


Fig 3 MMDP Scheme



Unlike [1], the SBS will utilize this information to calculate the distance between MS and only to the nBSs which located in the same sector as the current MS location where the neighboring sectors will be considered on distance calculation process. As an example of MS in Figure 3 where only nBSs B, C and D are considered, the SBS in the Lo-HO zone will start to predict the MS movement direction. The SBS calculates the distance between the MS and three potential TBSs of B, C and D based on coordinate distance formula which is derived as follows:

$$d = \sqrt{(x_2 - x_1)^2 + (y_2 - y_1)^2} \quad \dots \dots \dots (1)$$

Depending on their relative distance with MS, only the BS B and C are considered as a target base station. Now there is a race between B and C to become serving base station [9]. In this way we can reduce the number of scanning's in the pre hand over process.

### 3 PROPOSED MODEL

The proposed model for high quality hand over support is divided into two simulations as QualNet simulation and MATLAB simulation. QualNet simulation is used to evaluate the efficient routing protocol for high Quality hand over support in mobile WiMAX. MATLAB simulation is used to solve the network problems by using concurrent scanning approach.

#### 3.1 Efficient Routing Protocol for Mobile WiMAX

WiMAX Scenario consisting of two base stations and four mobile stations with all the parameters as per the IEEE 802.16e standard is modeled using Qualnet 6.1 to analyze the best routing protocol for the Hand over support. The simulation parameters are as given in table 1 and the network scenario as shown in figure 4.

Table 1: Simulation parameters

Parameters	Value
Number of base stations	2
Number of mobile stations	4
Frequency	2.5GHz
Channel bandwidth	20MHz
Duplexing	TDD
Message length	51200 bytes
FTT size	2048
Maximum power	5dBm
Transmission power	20dBm



Fig 4 WiMAX simulation scenario

An analysis has been made using different routing protocols for the unicast data received and the corresponding delay is involved in delivering the message from source to destination. A small introduction to the routing protocol used in the simulation is given below.



### 3.1.1 AODV

Ad hoc On-Demand Distance Vector (AODV) Routing is a routing protocol for mobile ad hoc networks (MANETs) and other wireless ad-hoc networks. It is a reactive routing protocol, meaning that it establishes a route to a destination only on demand. In contrast, the most common routing protocols of the Internet are proactive, meaning they find routing paths independently of the usage of the paths. The advantage of AODV is that it creates no extra traffic for communication along existing links. Also, distance vector routing is simple, and doesn't require much memory or calculation. However AODV requires more time to establish a connection, and the initial communication to establish a route is heavier than some other approach.

### 3.1.2 BELLMAN-FORD

The Bellman-Ford algorithm is an algorithm that computes shortest paths from a single source vertex to all of the other vertices in a weighted digraph. It is slower than Dijkstra's algorithm for the same problem, but more versatile, as it is capable of handling graphs in which some of the edge weights are negative numbers. In such a case, the Bellman-Ford algorithm can detect negative cycles and report their existence, but it cannot produce a correct "shortest path" answer if a negative cycle is reachable from the source, because there is no well-defined "shortest path" in such cases.

### 3.1.3 DYMO

The DYMO is an abbreviation for dynamic AODV. This routing protocol is successor to the popular Ad hoc On-Demand Distance Vector (AODV) routing protocol and shares many of its benefits. It is, however, slightly easier to implement and designed with future enhancements in mind. This can work as both a pro-active and as a reactive routing protocol, i.e. routes can be discovered just when they are needed.

### 3.1.4 FSR

Fisheye State Routing (FSR) belongs to the class of proactive (table-driven) ad hoc routing protocols and its mechanisms are based on the Link State Routing protocol used in wired networks. It tries to minimize the routing overhead by using a fisheye technique. Each node assigns other network participants to specific fisheye scopes dependent on their distance to the node itself. The amount of routing information is reduced by assuming longer link-state update intervals for nodes at higher distances than for network participants in the node's vicinity. Thus, FSR is intended to scale well in large mobile ad hoc networks and supports high rates of mobility.

### 3.1.5 IERP

The Inter-zone Routing Protocol (IERP) is a reactive routing component of the Zone Routing Protocol (ZRP). IERP adapts existing reactive routing protocol implementations to take advantage of the known topology of each node's surrounding R-hop neighborhood (routing zone). When a global route discovery is required, the routing zone based broadcast service can be used to efficiently guide route queries outward, rather than blindly relaying queries from neighbor to neighbor. Once a route has been discovered, IERP can use routing zones to automatically redirect data around failed links.

### 3.1.6 LANMAR

LANMAR combines the features of Fisheye State Routing (FSR) and Landmark routing. This routing protocol is used for Large Scale Wireless Ad Hoc Networks with Group Mobility. It provides efficient and scalable routing in large, mobile, ad hoc environments in which group mobility applies. The Landmark Ad-hoc Routing Protocol (LANMAR) is designed to dramatically reduce routing table size and routing update overhead in large-scale ad-hoc networks that exhibit group mobility.

## 3.2 Proposed Scanning Process

In order to accomplish concurrency the program is divided into many processes. We know that processor speed ( $\mu$ s) is more comparing to the I/O processing (ms) as shown in figure 5. According to concurrent scanning process once the I/O request comes in the process flow is given to the next process till the serving process gets the i/o information.

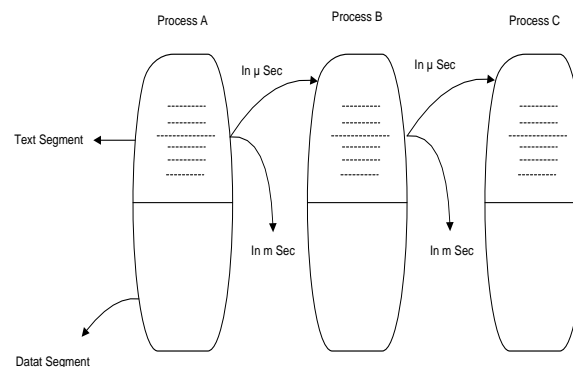


Fig 5 concurrent processing



After detecting the two best possible targets base stations we consider the concurrent scanning for both the TBSs. On doing this we proposed to reduce the time duration required for scanning. Hence we are able to achieve the reduced pre hand over delay. Concurrent scanning simulation is done on the MATLAB. The simulation follows the flow chart shown in figure 6.

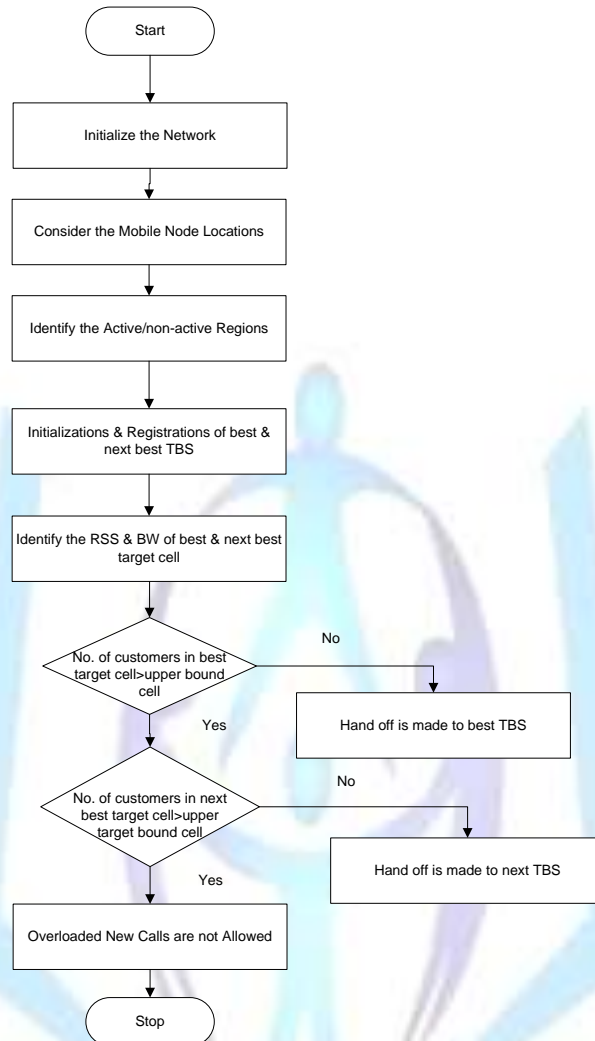


Fig 6 proposed flow chart

Bandwidth of each cell can be derived as follows:

$$BR_B = C \cdot P_B \cdot BW_{MH} \cdot \frac{1}{D_B} \dots\dots\dots (2)$$

where C is a constant.

In this work, we adopt the following mobility profile of the MH:

1. The speed of the MH
2. The distance between the MH and the radius of base station
3. Probability to neighbor cell is larger if it is a hot cell.

Since the above-mentioned metrics are all time series, we can use them as the parameters of the grey system, and apply the predictive values of these parameters as the inputs to the following equation

$$\text{Boundary} = \text{radius} \times \text{ratio} \times \text{speed} \times \text{direction} \dots\dots\dots(3)$$

where Radius is that of the base station, Ratio denotes the distance between the MH and the radius of base station, Speed represents the speed of the MH, and Direction stands for the mobility towards the neighboring cells.



## 4. SIMULATION RESULTS

### 4.1 QualNet Simulation Results

The amount of data sent by the transmitting node i.e. node 1 is 51200 bytes. The scenario is run with different routing protocols on the Qualnet environment and an observation is made on the amount of data being received in bytes and average end to end delay incurred for destination node i.e. node id 4. The figures from 7 to 18 shows the simulation results for different routing protocols.



Fig 7 AODV received data13, 312 bytes

Fig 8 AODV end to end Delay 0.6246 sec



Fig 9 Bellman-ford data received 9728 bytes



Fig 10 Bellman-ford Delay 0.09 sec

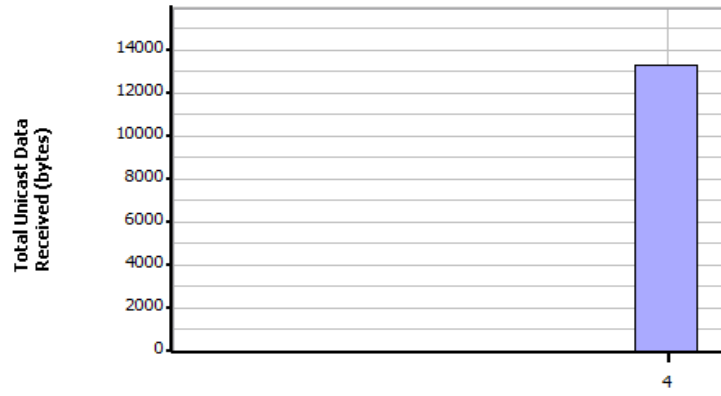


Fig 11 DYMO data received 13,312 bytes

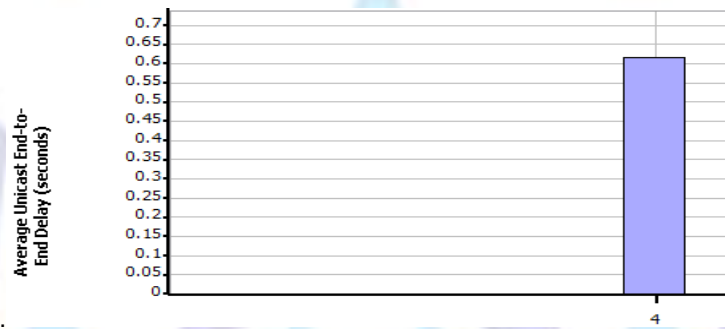


Fig 12 DYMO end to end Delay 0.6169 sec

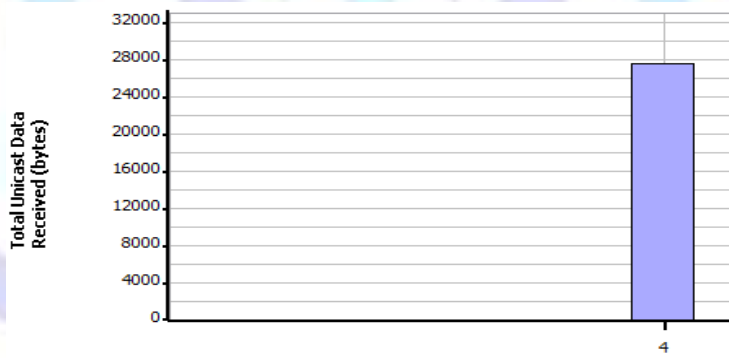


Fig 13 Fisheye data received 27,648 bytes



Fig 14 Fisheye end to end Delay 0.0896 sec





Fig15 IERP data received 51200 bytes

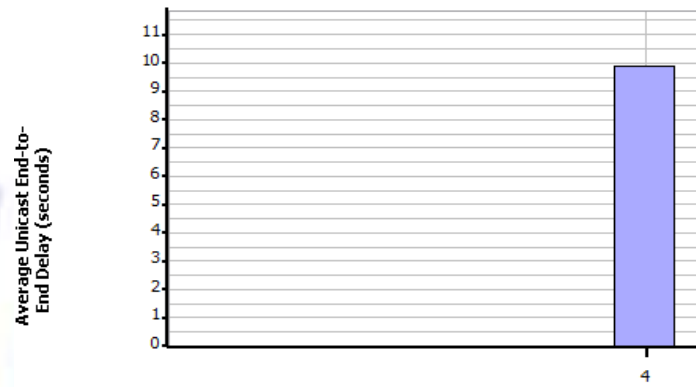


Fig 16 IERP end to end Delay 9.9024 sec

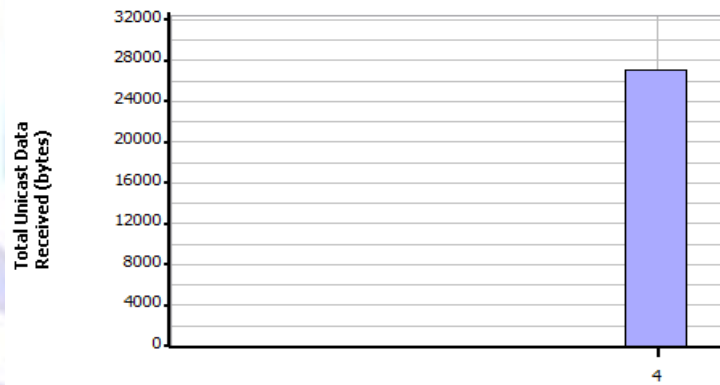


Fig 17 LANMAR data received 27,136

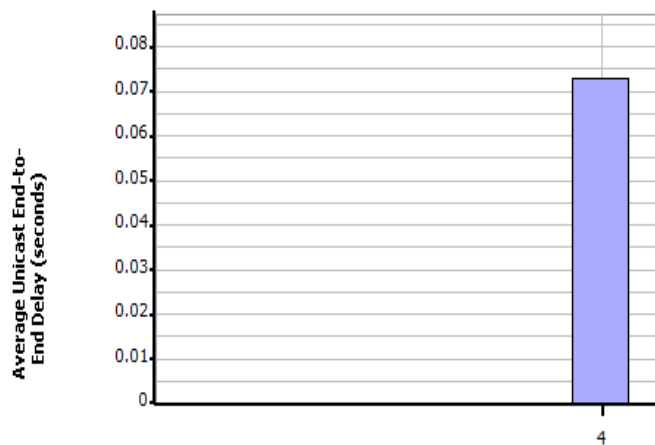


Fig 18 LANMAR end to end delay 0.073206 sec

The table 2 depicts that LANMAR is the best routing protocol for real time applications i.e. rtPS [13] service class and IERP has been found to be best suited for Best Effort service class. After on conducting the similar experiments for varying network sizes, it is observed that Fisheye protocol is optimal for smaller network and LANMAR is for the larger networks.

Table 2 Comparative table for routing protocols

ROUTING PROTOCOL	UNICAST DATA RECEIVED (Bytes)	END TO END DELAY (Sec)
AODV	13,312	0.624
BELLMANN FORD	9,728	0.09631
DYMO	13,312	0.6169
FISHEYE	27,648	0.0896
IERP	51,200	9.9024
LANMAR	27,136	0.0732

### 4.2 MATLAB Simulation Results

A WiMAX Scenario consisting of seven base stations out of which one is service base station and six are considered as target base stations. The green star represents the selected mobile node for the handover process, a blue star represents the corresponding base station of the cell and red stars are the serving mobile nodes in the cell. The location of mobile node requiring hand off between SBS to TBS is computed, if the mobile node is found to be inside the no hand off region as in figure 19 and hence hand off is not possible as given in figure 20 else hand off is possible. Depending on the handover possibilities we analyze three cases as described below.

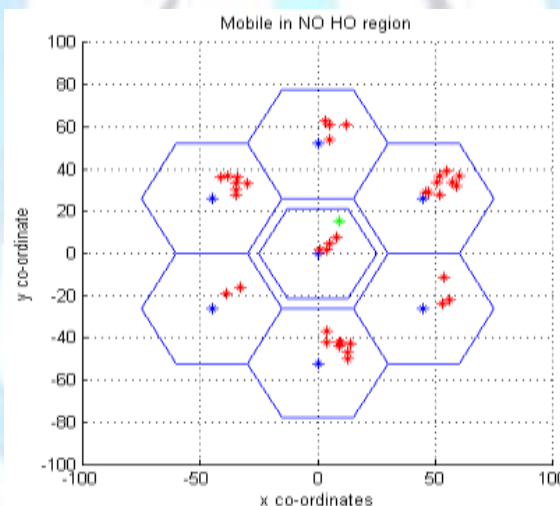


Fig 19 Mobile inside NO HO region

Current MH - Speed

Speed = 51.7846 99.4243 85.4852 96.2404

Current MH - prob = 67.8941 40.3501 93.4979 47.9485

Current MH - Distance

Dist = 23.1792 39.6290 70.5077 55.8559

scaleFact1 = 10

Hand Off =0



Fig 20 Hand off not necessary

#### 4.2.1 NORMAL BEST TARGET CELL



Normal target cell is one in which numbers of customers are less than the upper bound limit. Each cell consists of a minimum and maximum number of users denoted by lower bound and upper bound numbers. In this case the number of users less than the upper bound limit as shown in figure 21 hence handover is possible with best target station as shown in figure 23.

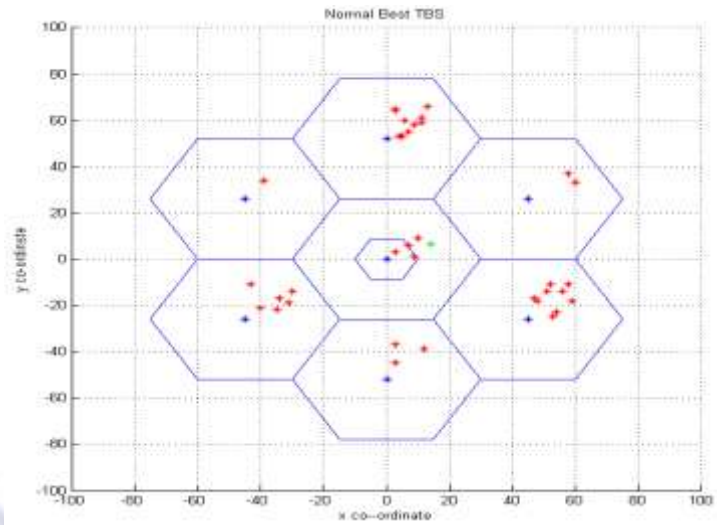


Fig 21 Normal best target cell

Network Initialization and Simulation parameters

Current MH - Speed

Speed = 81.4724 90.5792 12.6987 91.3376



Fig 22 Handoff Necessary

Current MH - Probability

prob = 63.2359 9.7540 27.8498 54.6882

Current MH - Distance

Dist =95.7507 96.4889 15.7613 97.0593

scaleFact1 = 10

Hand Off = 1

TBS Distance = 51.6655 65.2479 67.4699 57.0889 40.8801 37.0982

Customer = 7 8 8 4 7 2

Best TBS = 6

Next Best TBS = 5



Fig 23 Handoff to Base Station 6



### 4.2.2 BOTH TBSs OVER LOADED

In case both the selected target base stations are having customers more than upper bound is the over loaded TBSs case. The simulation result shown in figure 24 describes the above case. As the TBSs are over loaded with the customers there is no band width to allow the new incoming calls and hence the message is displayed as shown in figure 26.

Network Simulation

Current MH - Speed

speed =75.0520 58.3533 55.1793 58.3571

Current MH - Probability

prob = 51.1820 8.2593 71.9570 99.6156

Current MH - Distance

Dist = 35.4534 97.1259 34.6449 88.6544

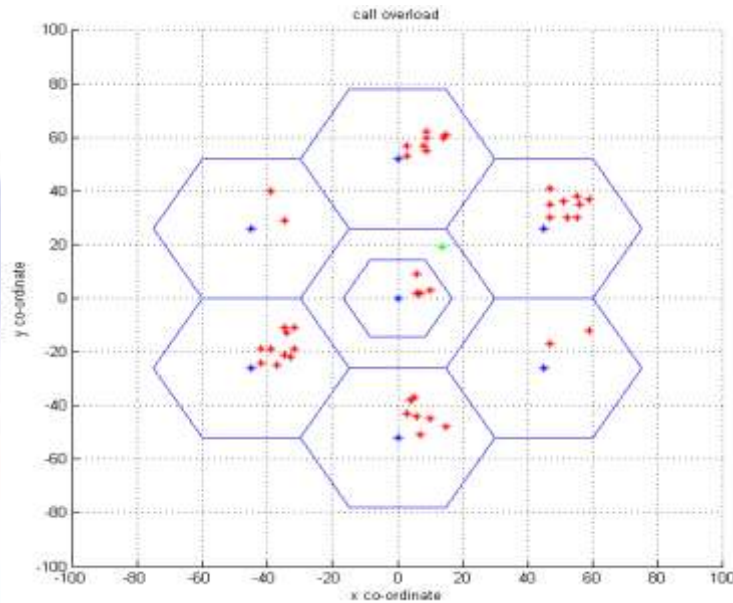


Fig 24 Both TBSs over loaded

scaleFact1 =10

Handoff =1

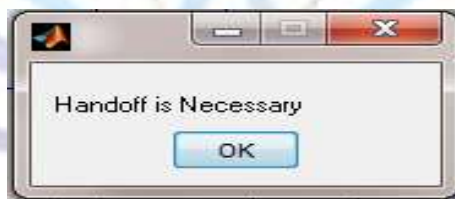


Fig 25 Hand off necessary

TBS Distance = 53.9143 67.6183 68.4552 55.9867 38.3280 36.8124

Customer = 10 9 3 6 10 8

Best TBS 6

Next Best TBS = 5

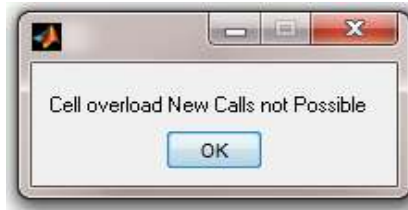


Fig 26 Call Dropping

### 4.2.3 HAND OVER TO NEXT BEST TBS

In this case best TBS is having more number of customers' compared to upper bound hence overloaded but next best TBS is having less number of customers' compared to upper bound hence it will allow the new incoming call. Here the hand off is given to next best TBS. network scenario is as shown in figure 27 and the message displayed is shown in figure 29.

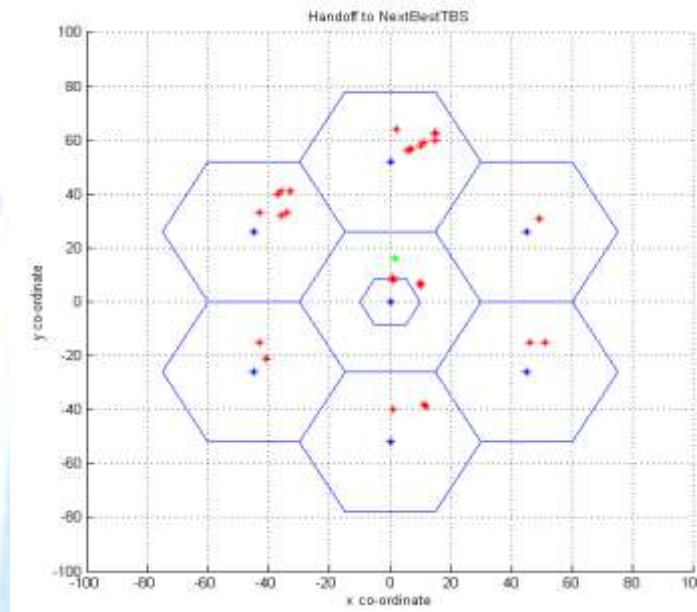


Fig 27 Hand over to next best TBS

Network Simulation

Current MH - Speed

Speed = 80.2416 66.9643 47.1603 94.4478

Current MH - Probability

prob = 59.1481 22.6748 68.3844 32.0643

Current MH - Distance

Dist = 74.8919 99.8143 26.9733 93.1870

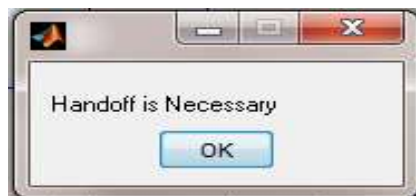


Fig 28 Handoff necessary

scaleFact1 = 10

Hand Off = 1

TBS Distance = 33.6298 50.4466 66.9889 70.8256 60.0197 40.7397

Customer = 7 2 3 1 5 4



Best TBS = 1  
Next Best TBS = 6



Fig 29 Hand off with base station 6

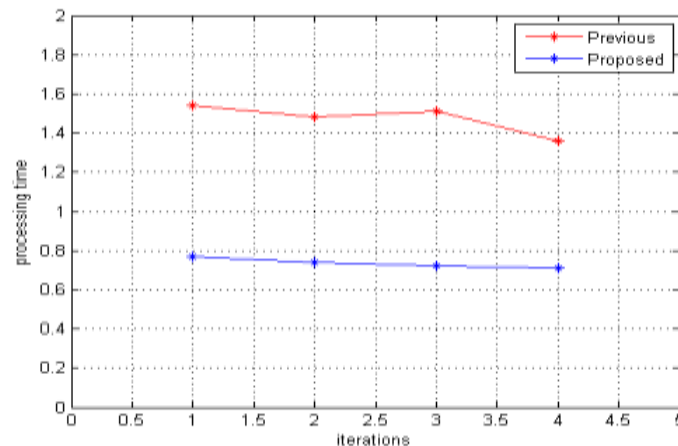


Fig 30 comparison between concurrent and non-concurrent process

The comparison between the delay involved in concurrent and non-concurrent process is shown in figure 30. From this it is clear that we are able to minimize the scanning delay by an average of 40%.

## 5 CONCLUSIONS and FUTURE SCOPE

### 5.1 CONCLUSION

In case of hand over procedure minimization of pre-hand over delay is an important aspect. By using the MS movement direction prediction (MMDP) based scanning approach the total scanning delay and the scanning interval are reduced. Furthermore on using the concurrent scanning procedure for the best two target BSs we provide high quality hand over support in mobile WiMAX. On performing concurrent scanning procedure the delay involved in scanning TBSs can be reduced by 40%, problems like ideal sectors, network congestion and fast change in RSS are proposed to be minimized.

Efficient routing protocols for the Mobile WiMAX Network are analyzed for best effort and real time application. Fisheye protocol is better to use with small network coverage application. As the network enlarges in size LANMAR gives better performance for real time application. IERP is an efficient routing protocol for the best effort services.

### 5.2 FUTURE SCOPE

In this project node in the target base stations are considered to be at rest. In case of a real time scenario nodes in the target base station are also in motion and there is chances of handover in between the estimated target base station. In this case solution for the network congestion is a challenging task. As a future work analysis of efficient routing protocol for emergency and security application in the Mobile WiMAX environment can be made.

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He has successfully carried out numerous responsibilities as Project Manager/Deputy Project Director for different satellite projects and Deputy Director of Digital & Communication Area in ISRO Satellite Centre. He is also responsible for various studies related to satellite technologies. Dr. Sambasiva Rao, a Fellow of IETE and a Member of Astronautically Society

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